



Filing ID #10022409

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Harley E. Rouda Jr.
Status: Congressional Candidate
State/District: CA48

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/21/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apex Sports Group [OL]		\$15,001 - \$50,000	None		
LOCATION: Golden, CO, US					
DESCRIPTION: Ski boot systems					
Armchair Investments LLC ⇒ Commercial Buildings [RP]		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Columbus, OH, US					
Armchair Investments LLC ⇒ Fidelity/Corient Capital Partners LLC Defined Benefit Plan [BA]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Her Realtors, LLC [OL]		\$500,001 - \$1,000,000	Rent	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate					
Armchair Investments LLC ⇒ TrueWest [OL]		\$50,001 - \$100,000	None		
LOCATION: Location, CA, US					
DESCRIPTION: Pooled investment account (formerly known as SEAM II Fund)					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Angel Oak Multi Strategy Inc. Insti [EF]		\$100,001 - \$250,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA Emerging Markets Portfolio [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Large Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Small Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA International Value Port Insti [EF]		\$15,001 - \$50,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA Int'l Small Cap Value [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Micro Cap Port Insti [MF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Growth Port Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ DFA U.S. Small Cap Value Prtf Insti [EF]		\$1,001 - \$15,000	Tax-Deferred		
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Dimentional Emerging Mkts Val Port Insti [EF]					
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Eaton Vance Floating Rate Advantage CI I [EF]		\$100,001 - \$250,000		Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Fidelity Government Cash Reserves [BA]		\$250,001 - \$500,000		Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Invesco Equally Weighted S&P 500 [EF]			\$15,001 - \$50,000	Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ JPMorgan Strategic Income Opps CI I [EF]		\$100,001 - \$250,000		Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ SPDR S&P Midcap 400 EFT Tr Utseri S&Pdcrp [EF]			\$1,001 - \$15,000	Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard Admiral FDS Inc S&P 500 Value Index Fund [EF]			\$15,001 - \$50,000	Tax-Deferred	
Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan ⇒ Vanguard S&P 500 Growth EFT [EF]			\$15,001 - \$50,000	Tax-Deferred	
Corient Capital Partners LLC ⇒ Fidelity Government Cash Reserves [BA]		\$1 - \$1,000	Interest	None	None
Corient Capital Partners LLC ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends, Interest	\$201 - \$1,000	\$1 - \$200
Trident Holdings, Inc. ⇒ Cova, LLC [OL]		\$50,001 - \$100,000	None		
LOCATION: San Clemente, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Water sports apparel	Trident Holdings, Inc. ⇒ Grayl, Inc. [OL]	\$100,001 - \$250,000	None		
LOCATION: Seattle, WA, US					
DESCRIPTION: Water purification products	Trident Holdings, Inc. ⇒ HER Realty HomeGard [OL]	\$15,001 - \$50,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Home warranty services	Trident Holdings, Inc. ⇒ Her, Inc. [OL]	\$1,000,001 - \$5,000,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate	Trident Holdings, Inc. ⇒ Poler Inc. [OL]	\$15,001 - \$50,000	None		
LOCATION: Portland, OR, US					
DESCRIPTION: Apparel & outdoor gear	Trident Holdings, Inc. ⇒ Raen Optics, Inc. [OL]	\$50,001 - \$100,000	None		
LOCATION: Encinitas, CA, US					
DESCRIPTION: Eyewear	Trident Holdings, Inc. ⇒ Relevium Labs, Inc. [OL]	\$15,001 - \$50,000	None		
LOCATION: Oxford, OH, US					
DESCRIPTION: Medical devices	Trident Holdings, Inc. ⇒ Stuffstr [OL]	\$15,001 - \$50,000	None		
LOCATION: Seattle, WA, US					
DESCRIPTION: Item reuse/repair/resale/recycling services public benefit corporation	Trident Holdings, Inc. ⇒ Trident Holdings, Inc. [OL]	\$5,000,001 - \$25,000,000	None		
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate services	Trident Holdings, Inc. ⇒ Trident Title Agency Ltd. [OL]	\$1,000,001 - \$5,000,000	Ownership profits	\$1,000,001 - \$5,000,000	\$1,000,001 - \$5,000,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: Columbus, OH, US					
DESCRIPTION: Real estate title services					
UTG, Inc. (UTGN) [ST]		\$1,001 - \$15,000	None		
Wells Fargo Accounts [BA]		\$15,001 - \$50,000	Interest	None	None
Wells Fargo Brokerage ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$500,001 - \$1,000,000	None		
Wells Fargo Brokerage ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Wells Fargo IRA ⇒ Cash [BA]		\$1,001 - \$15,000	Interest	None	None
Wells Fargo IRA ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$250,001 - \$500,000	Dividends	None	\$201 - \$1,000
Wells Fargo IRA ⇒ Rocky Brands, Inc. (RCKY) [ST]		\$100,001 - \$250,000	Capital Gains	None	\$15,001 - \$50,000
Wells Fargo IRA (2) ⇒ Cash [BA]		\$1 - \$1,000	Interest	None	None
Wells Fargo IRA (2) ⇒ ProShares Ultrashort FTSE China 50 (FXP) [ST]		\$1,001 - \$15,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Trident Holdings, Inc.	Salary, Board Fees	\$220,499.00	\$607,999.00
Rocky Brands, Inc.	Board Fees	\$70,000.00	\$70,000.00
Amazon	Spouse Royalties	N/A	N/A
Harlequin	Spouse Royalties	N/A	N/A
Spark Press	Spouse Royalties	N/A	N/A

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Tule Publishing	Spouse Royalties	N/A	N/A
Trident Holdings, Inc.	Spouse Board Fees	N/A	N/A
Trident Title Agency Ltd.	Board Fees	\$5,000.00	\$10,000.00
HER Homegard	Board Fees	N/A	\$500.00
Trident Holdings, Inc.	Consulting fees and debt repayment	N/A	\$1,020,000.00

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
American Express	May 2018	Credit Card	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Rocky Brands, Inc.
Chief Executive Officer, Boardmember	HomeGard
Member	Partners United Financial, LLC
President, Board of Managers Member, Board of Advisors Member	Homeco LLC
Chief Executive Officer, President, Director	Trident Holdings, Inc.
President, Director	HER, Inc.
Chief Executive Officer, Director	HER Realtors, LLC
Managing Member	Armchair Investments, LLC
Boardmember	Grayl, Inc.
Boardmember	Cova, LLC
Boardmember	Relevium Labs, Inc.
Boardmember	Realstir

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Armchair Investments LLC
LOCATION: US
- Armchair Investments LLC ⇒ Corient Capital Partners LLC Armchair Investments LLC Defined Benefit Plan
LOCATION: US
- Corient Capital Partners LLC
LOCATION: US
- Trident Holdings, Inc.
LOCATION: US
- Wells Fargo Brokerage
LOCATION: US
- Wells Fargo IRA
- Wells Fargo IRA (2)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Harley E. Rouda Jr., 05/21/2018